

Event Vetting

Government employees, particularly high-level officials like United States Attorneys, are subject to ethical restrictions governing the types of events they may attend. Some restrictions relate to benefits, including luncheons, dinners, awards, and tokens of appreciation they may accept. Other restrictions relate, among other things, to participation in events that are sponsored by persons who do business with or lobby the Government; that have a fundraising component; where it may appear that official attendance is being used to promote the event or the sponsor; or where elected officials may be in attendance. To assist us in vetting compliance with government ethical restrictions, we would appreciate it if you could provide the following information. If you do not have specific information for each response, please provide such general or descriptive information as you currently have. Please do not hesitate to call the Office's Government Ethics Advisor, (b)(6), (b)(7)c , at (b)(6), (b)(7)c or e-mail him at (b)(6), (b)(7)c to discuss this.

1. Name, date and time, and location of event.

Name: Sikh Leadership Summit - "Shaping Tomorrow's Global Leaders"

Date: November 12, 2015

Time: 6:00pm – 9:00pm

Location: One World Trade Center, 64th Floor Sky Lobby, New York, NY

2. Format of event (*e.g.*, cocktails; presentations; speeches; and/or meal).

Cocktails and Light Dinner followed by Speeches and then followed by a Panel discussion. Mr. Bharara will be delivering the Keynote address for the Leadership Summit with a focus on "Shaping Tomorrow's Global Leaders"

3. Estimated cost per attendee of event.

Total of 350 attendees expected. Estimated Cost per attendee is \$55

4. Are any attendees being charged to attend the event? No charge to attendees

- a. If so, who (in general) is being offered free attendance, and who is expected to pay?
No charge to any attendee. The event is complimentary.

5. Name of entity extending the invitation and link to web site (if any).

- a. Does the entity extending the invitation engage in lobbying?

Name of Entity: Sikh Art & Film Foundation

Website Link: www.sikharts.com

The Sikh Art & Film Foundation is a Non-Profit Cultural organization promoting arts and culture and no Lobbying is involved

6. Sponsor of event if different from above. N/A

a. Does the sponsor of the event engage in lobbying?

7. Names of additional entities that will be recognized for sponsorship or support of the event.

Barkley Kalpak Agency – For providing Audio Visual support

Cushman & Wakefield – For providing partial support with space rental at the One World Trade Center Building

8. How many persons are expected to attend?

Total of 350 individuals are expected to attend

9. Demographics of expected attendees (*e.g.*, lawyers; law enforcement; business leaders; students; etc.)

The attendees will be young professionals from a variety of business disciplines, college students, entrepreneurs and academia

10. Are any elected officials expected to attend and, if so, what is their expected role?

N/A

11. Is there a fundraising component to the event? (*e.g.*, program advertisements; dinner ticket price; silent auction)? If so, please describe.¹

No fundraising component to the event

12. Expected role of U.S. Attorney (*e.g.*, simple attendance; remarks; speech)

The U.S. Attorney has been requested to deliver the Keynote Remarks for the Leadership Summit.

¹ For events that involve any fundraising leading up to or during the event: The United States Attorney may not participate in any active fundraising activity with respect to the event. Nor may the U.S. Attorney allow his name, position, or title to be used for any fundraising activity for your organization. If applicable, you may list his name as an awardee and/or speaker on the program and on invitations issued not more than 30 days prior to the event, along with other information about the program. He may not be listed on any “save the date” notices. Failure to comply with these limitations may preclude the U.S. Attorney from appearing at the event. If the U.S. Attorney is giving an official speech, he may be seated and have photos taken as appropriate for a speaker at the event, but you may not use his photo in connection with any other fundraising efforts.

13. If the U.S. Attorney is being honored: N/A

- a. What are the criteria your organization applies to determine who should be honored for this specific award?

N/A

- b. What is the internal process by which your organization selects potential honorees?

N/A

- c. For what specific public service or achievement do you wish to honor the U.S. Attorney?

N/A

- d. Did any of the accomplishments of the U.S. Attorney which you wish to honor directly affect the organization or its members? (Describe.)

N/A

- e. Do any of the board members or host committee or senior staff have any matters pending before or involving the United States Attorney's Office for the Southern District of New York?

N/A

- f. Please provide a description and the value of any award item (if the award item is a plaque, certificate or trophy of little intrinsic value, you may so indicate).

N/A

- g. Who else is expected to be honored at the event? N/A

14. If the U.S. Attorney is making a presentation, who else is expected to be making a presentation/be on a panel?

The U.S. Attorney will deliver Keynote Remarks. This will be followed by a Panel session on "Shaping Tomorrow's Global Leaders." The three panelists are, (b)(6), (b)(7)c from Columbia University, (b)(6), (b)(7)c from Stanford University and (b)(6), (b)(7)c (b)(6), (b)(7), CEO of Datawind.

- a. Will the U.S. Attorney be presented with a token or memento in appreciation for his presentation?

i. ___ Nothing

ii. X Plaque or other memento of little intrinsic value

iii. ___ Other. Please describe, including its value.

15. Describe any special seating arrangements for U.S. Attorney, *e.g.*, dais, general seating (depending on the nature of the event, the U.S. Attorney may or may not be able to accept “dais” seating.)

No “dais” seating – will be general seating in audience front row reserved for Speakers

16. Promotion of the event: please provide a copy of or link to the invitation/promotional material for the event (or if not available, for the prior year’s event).

Pls. see attached link: <http://www.sikharts.com/leadership-summit.html>